



# **Securities**

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## Overview

Raising money in either the public or private markets is a demanding and necessary requirement for growing businesses. Field Law understands the necessity of working with clients to capitalize on their business opportunities by providing timely client-focused advice.

Field Law's Securities Group provides crucial and timely advice on corporate finance matters, including:

- Mergers and Acquisitions
- Equity or Debt Offerings Public or Private
- Restructuring
- Corporate Governance
- Regulatory Compliance
- Representation with securities commissions and stock exchanges
- Corporate Secretarial Services
- Shareholder Meeting Services
- Ongoing Continuous Disclosure Services
- Local Counsel Opinions

Our lawyers advise businesses from start-up through to listing on a stock exchange and beyond on all matters relating to structuring, financings, corporate governance and regulatory compliance.

Field Law has extensive experience in these areas, representing a wide-range of clients including public and private corporations of various sizes involved in a variety of industries. We assist these clients in domestic, national and international corporate and commercial transactions that range from small dollar amounts to large and very complex transactions. We regularly advise bidders and target companies (including boards of directors and special committees) on how to best navigate complex merger and acquisition transactions.

To assist our clients, we not only leverage our knowledge in securities law, but couple that background with utilizing other departments in our firm, including without limitation employment, real estate, environmental, energy, intellectual property and information technology. This, together with industry knowledge gained in serving our diverse client base, ensures that we are able to provide highly effective, value-oriented, practical and specific advice to our clients.

#### Industries

Cannabis Construction Emerging Technology Energy + Environment Indigenous Mining + Minerals Transportation

#### Services

Business Compliance + Corporate Governance Corporate + Commercial Transactions Finance + Banking Corporate Secretarial Services Collections, Bankruptcy + Insolvency Cross-Border Services Mergers, Acquisitions + Capital Markets Tax



### People

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News + Views + Events

August 25, 2022 The Best Lawyers™ in Canada 2023

July 2022 - 3 min read Accessing Venture Capital: Five Practical Tips for Start-Ups

May 2022 - 6 min read Eight Securities Law Mistakes to Avoid as a Private Corporation

August 2021 The Best Lawyers™ in Canada 2022

August 2020 The Best Lawyers™ in Canada 2021

## **Helpful Links**

- British Columbia Securities Commission
- Ontario Securities Commission
- Quebec Securities Commission
- TSX Venture Exchange
- Toronto Stock Exchange
- Canada Newswire
- CCN Matthews
- TSX Venture Daily Bulletins
- Globe & Mail, Globe Investor
- SEDAR
- Government of Canada
- Government of British Columbia
- Government of Alberta
- Government of Saskatchewan





- Government of Manitoba
- Government of Ontario
- Government of Quebec
- Government of New Brunswick
- Government of Nova Scotia
- Government of Newfoundland & Labrador
- Government of Prince Edward Island
- Government of the Northwest Territories
- Government of the Yukon Territory
- Broadridge Investor Communication Solutions, Canada Canadian Capital Markets Association
- Canadian Investor Relations Institute Canadian Securities Administrators
- Canadian Securities Institute
- Canadian Society of Corporate Secretaries (CSCS)
- Market Regulation Services Inc.
- The Canadian Depository for Securities Limited
- Canada Post
- CCH Canadian Limited Financial Executives International-Canada
- FP Infomart Research Tool International Financial Centre B.C.
- Registrar of Companies in B.C.